



**PATENT**

Attorney Docket No. A-70789-1/RMA/JML  
Dorsey File No. 469219-26

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

*In re* application of:

DESHPANDE et al.

U.S. Serial No.: 10/669,542

Filing Date: September 23, 2003

For: *Method, Computer Program and  
Apparatus for Operating System  
Dynamic Event Management and Task  
Scheduling Using Function Calls*

Examiner: Not yet assigned

Art Unit: 2127

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence, including listed enclosures, is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. BOX 1450, Alexandria, VA 22313-1450 on April 20, 2004.

Signed: Joyce Abriam  
Joyce Abriam

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In satisfaction of the duty of disclosure under 37 C.F.R. § 1.56, and in accordance with the provisions of 37 C.F.R. §§ 1.97 and 1.98, Applicants wish to draw the attention of the U.S. Patent and Trademark Office to the references cited on the accompanying form PTO/SB/8A-B. In accordance with 1273 Off. Gaz. Pat. Off. 1, 8/5/2003, no copies of U.S. patents and U.S. published applications are enclosed. Copies of all other references are enclosed.

None of the foregoing references is believed to disclose the invention as claimed.

Nothing herein shall constitute an admission concerning the contents of any of the cited references, nor shall the inclusion of a reference herein be considered an admission that the reference constitutes prior art against the invention claimed in the above-identified application.

U.S. Serial No.: 10/669,542  
Filing Date: September 23, 2003

Submission of the present document shall not be construed as an admission that a search has been made or that better art does not exist.

As far as is known to the undersigned, this Information Disclosure Statement is being filed within three months of the filing date of a national application, within three months of the date of entry of the national state in an international application, or before the mailing date of a first Office Action on the merits as set forth in 37 C.F.R. § 1.97(b), and therefore no fee is required.

While no fee is believed to be due, if this belief is in error the Commissioner is authorized to charge any additional fees, including extension fees or other relief which may be required, or credit any overpayment to Deposit Account No. 50-2319 (Our Order No. 469219-26 [A-70789-1/RMA/RMK]).

Please direct any calls in connection with this application to the undersigned at (415) 781-1989.

Dated: April 20, 2004  
Dorsey & Whitney LLP  
Intellectual Property Department  
Four Embarcadero Center, Suite 3400  
San Francisco, CA 94111-4187  
Telephone: (415) 781-1989  
Facsimile: (415) 398-3249

Attachments:

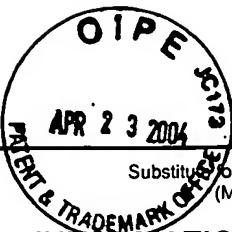
Return receipt postcard  
Form PTO/SB/8A-B (2 pgs.)  
Three (3) References

Respectfully submitted,  
**DORSEY & WHITNEY LLP**

BY:

Jennifer M. Lane, Reg. No. 51,916 for  
R. Michael Ananian, Reg. No. 35,050

Customer Number: 32940



Substitute for form 1449A/PTO (Modified)  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/669,542
				Filing Date	September 23, 2003
				First Named Inventor	Akash R. DESHPANDE
				Art Unit	2127
				Examiner Name	Not yet assigned
Sheet	1	of	2	Attorney Docket Number	
<b>A-70789-1/RMA/JML (469219-26)</b>					

#### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>2</sup>	U.S. Patent Document Number-Kind Code <sup>3</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	4,800,521	01-24-1989	Carter et al.	
	A2	5,247,677	09-21-1993	Welland et al.	
	A3	5,260,868	11-09-1993	Gupta et al.	
	A4	5,301,312	04-05-1994	Christopher et al.	
	A5	5,465,335	11-07-1995	Anderson, Mark F.	
	A6	5,530,879	06-25-1996	Crump et al.	
	A7	5,619,409	04-1997	Schultz et al.	
	A8	5,636,124	06-1997	Rischar et al.	
	A9	5,640,563	06-17-1997	Carmon, Donald E.	
	A10	5,701,481	12-23-1997	Hosaka et al.	
	A11	5,781,187	07-14-1998	Gephardt et al.	
	A12	5,872,909	02-16-1999	Wilner et al.	
	A13	5,938,708	08-17-1999	Wallace et al.	
	A14	5,944,840	08-31-1999	Lever	
	A15	6,105,048	08-15-2000	He, Xiaoyong	
	A16	6,279,108 B1	08-21-2001	Squires et al.	
	A17	6,341,303 B1	01-22-2002	Rhee et al.	
	A18	6,349,321 B1	02-19-2002	Katayama, Isao	
	A19	6,359,622 B1	03-19-2002	Hayes-Roth, Barbara	
	A20	6,385,637 B1	05-07-2002	Peters et al.	
	A21	6,385,638 B1	05-07-2002	Baker-Harvey, Miche	
	A22	6,425,091 B1	07-23-2002	Yang et al.	
	A23	6,438,573 B1	08-20-2002	Nilsen	

#### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>2</sup>	Foreign Patent Document Country Code <sup>4</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>

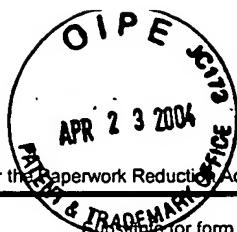
Examiner Signature		Date Considered
--------------------	--	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English Language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the complete application form to the USPTO. Time will vary depending on the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and selection option 2



Substitute PTO/SB/8A-B (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

 <small>Submitte for form 1449A/PTO</small> <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <small>(use as many sheets as necessary)</small>				<b>Complete if Known</b>	
				Application Number	<b>10/669,542</b>
				Filing Date	<b>September 23, 2003</b>
				First Named Inventor	<b>Akash R. DESHPANDE</b>
				Group Art Unit	<b>2127</b>
				Examiner Name	<b>Not yet assigned</b>
Sheet	<b>2</b>	of	<b>2</b>	Attorney Docket Number	<b>A-70789-1/RMA/JML (469219-26)</b>

## **NON PATENT LITERATURE DOCUMENTS**

**Examiner Signature** \_\_\_\_\_ **Date Considered** \_\_\_\_\_

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English Language Translation is attached.

<sup>6</sup> Applicant is to place a check mark here if English Language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.